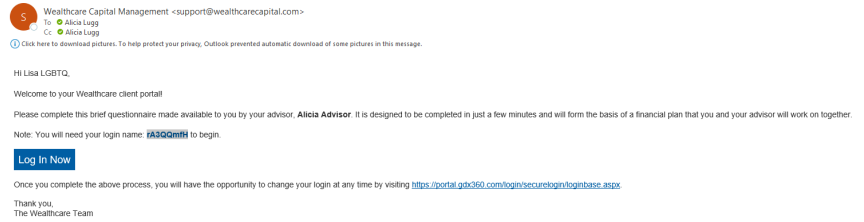


### GDX360 Investor Questionnaire

I received an Investor Questionnaire email invitation from my advisor....now what?

Make sure you write down or copy your **login name**. You will need it to begin. Your initial login name is generated by the system and may be changed after the initial enrollment process.

Log in to fill out your Investor Questionnaire (a message from Alicia Advisor)



Click the **Log In Now** button to start.

Note: You will need your login name: **aHF8LDHa** to begin.

Before you can access the investor questionnaire you must complete the 3-step enrollment process.

The username and password you use today will be what you use in the future to login to your GDX360 Client Portal. Your username, password, and security questions can be changed in your portal at any time by logging in and choosing **Preferences** from the dropdown next to your name.

This questionnaire is designed to be completed in just a few minutes and will provide your advisor with some basic personal and financial information. Your advisor will work with you to create a financial plan tailored to your unique mix of lifestyle goals, current assets, expected future financial resources (ie... pension, Social Security, inheritance, sale of business, etc.), risk tolerance, family dynamics, etc. If there is something that you feel is important for your financial advisor to know but is not included in this questionnaire, make a note to bring it up during your follow up discovery conversation.

## Step 1 - Household Information

Your Investor Questionnaire should already have the Primary Household Member's information populated. Please verify that all information is correct and add a spouse/partner and any dependents information. Check retired or enter your gross annual employment income.

Once this page is complete, Click **Next**

Next
Investor Questionnaire

Household Members - Please enter persons in your household below.

First Name	Last Name	Retired	Employment Income	Date of Birth	Gender
<b>Client</b>					
<input type="text" value="Patrick"/>	<input type="text" value="Prospect"/>	<input checked="" type="checkbox"/>	<input type="text" value="\$ 0"/>	<input type="text" value="08/27/1948"/>	<input type="checkbox" value="M"/> <input type="checkbox" value="F"/>
<b>Spouse/Partner</b>					
<input type="text" value="Margaret"/>	<input type="text" value="Propect"/>	<input checked="" type="checkbox"/>	<input type="text" value="\$ 0"/>	<input type="text" value="05/15/1949"/>	<input type="checkbox" value="M"/> <input type="checkbox" value="F"/>
<b>Dependent #1</b>					
<input type="text" value="First Name"/>	<input type="text" value="Last Name"/>			<input type="text" value="mm/dd/yyyy"/>	<input type="checkbox" value="M"/> <input type="checkbox" value="F"/>

## Step 2 - Retirement Income

Please answer all questions that pertain to you and your current financial status and goals.

If a field does not apply to you, leave zero and move on to the next question.

If you have additional Sources of Income that are not listed, please make a note to mention to your advisor during your next conversation.

Once this page is complete, Click **Next**

Back
Next
Investor Questionnaire

Retirement Income - Please answer questions about your current status and financial goals

At what age do you plan to retire? (The initial plan assumes a spouse/partner will retire at the same time if applicable)

Retirement Age

How much are your current **monthly** expenses?

Living Expenses

Please enter other sources of income you will receive now or in the future in **monthly amounts**

Pension

Rental Income

Alimony

Annuity

Please enter your expected **monthly** Social Security amount at your full retirement age. To calculate your expected benefit, see the retirement estimator at SSA.gov (<http://ssa.gov>)

Social Security - Patrick

Social Security - Margaret

## Step 3 - Assets/Savings

In this section, the advisor is looking for present financial resources that are at your disposal and the annual amount you are currently contributing to them.

If you have additional assets or currently saving to an account that is not listed, please make a note to mention to your advisor during your next conversation.

Once this page is complete, Click **Next**

## Step 4 - Priorities

For each of the primary priorities, we want to know if you would compromise other goals (or more accurately, if you don't view it as compromise) to achieve that goal. Let us consider the investment risk lever. To reduce the amount of investment risk (equity exposure), would you be willing to spend less in retirement? If your answer is yes, then Investment Risk would be given a higher priority.

Once this page is complete, Click **Next**

## Step 5 – Notify Advisor

If you are satisfied with your responses *notify your advisor* that you have completed the questionnaire by clicking the **Notify Advisor** button.

Once you have notified your advisor, Click **Close**.

Back Next

### Investor Questionnaire

1 2 3 4 5  
HOUSEHOLD RETIREMENT INCOME ASSETS/SAVINGS PRIORITIES NOTIFY ADVISOR

**Assets & Savings** - Please provide some information about your investment accounts and contributions

**Assets** - How much do you currently have saved for retirement in the following types of accounts?

Tax Deferred Retirement Accounts (401ks, 403bs, IRAs, etc.)	\$ 250000
Tax Exempt Accounts (Roth 401ks, Roth IRAs, etc.)	\$ 25000
Education Accounts (529s, UGMA/UTMA, Coverdell, etc.)	\$ 0
Taxable Accounts (Individual, Joint, Trust, etc.)	\$ 50000
Non-Investment (Checking, Savings, CDs, etc.)	\$ 25000

**Savings** - How much are you currently saving **annually** to each of the following types of accounts?

Tax Deferred Retirement Accounts (401ks, 403bs, IRAs, etc.)	\$ 0
Tax Exempt Accounts (Roth 401ks, Roth IRAs, etc.)	\$ 0
Education Accounts (529s, UGMA/UTMA, Coverdell, etc.)	\$ 0
Taxable Accounts (Individual, Joint, Trust, etc.)	\$ 0
Non-Investment (Checking, Savings, CDs, etc.)	\$ 0


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### Investor Questionnaire


1 2 3 4 5  
HOUSEHOLD RETIREMENT INCOME ASSETS/SAVINGS PRIORITIES NOTIFY ADVISOR

**Priorities** - the following matrix is used to provide a general sense of what matters most to a client. Please drag and drop the following goals in order of importance.

← Higher Priority

1  
  
Reduce Investment Risk

2  
  
Spend More in Retirement

3  
  
Leave More to Heirs

4

5

6

Lower Priority →

Back Next

### Link Accounts - Accounts

1 2 3 4 5  
HOUSEHOLD RETIREMENT INCOME ASSETS/SAVINGS PRIORITIES NOTIFY


**Thank you for completing the investor questionnaire!**

Let your advisor know you are done by clicking below.

After reviewing your responses, your advisor will contact you regarding next steps.

In addition, notifying the advisor unlocks access to PlanIt, a simplified but powerful planning tool that helps you to evaluate retirement goals.

[Notify Advisor](#)



**Access PLANIT from the Investor Questionnaire widget.**

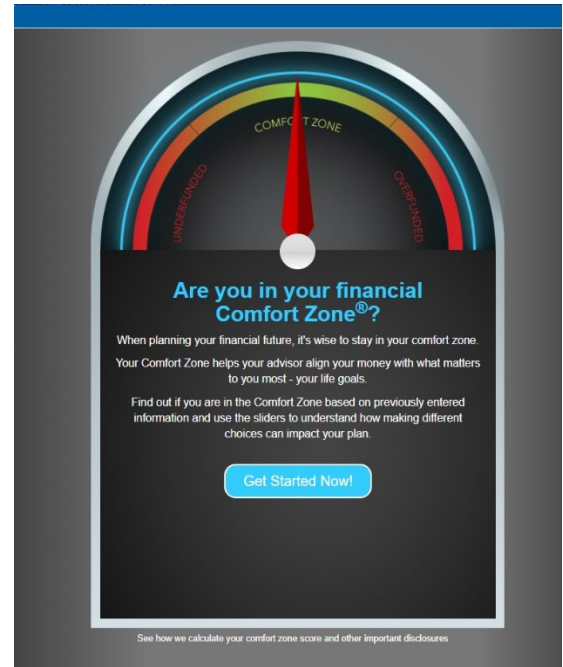
PlanIt is a simplified, but powerful planning tool that works with the questionnaire to help you to evaluate retirement goals. Use PlanIt to investigate life scenarios and to better understand the tradeoffs involved in developing a financial plan.

## Plan It

Once you have completed your questionnaire and notified your advisor, a link to our **PlanIt** tool will appear.

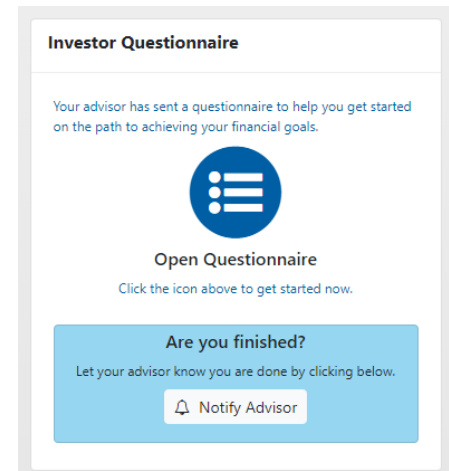
What is PlanIt? It is a simplified, interactive planning tool that works with the questionnaire to help you evaluate your retirement goals. Use PlanIt to investigate life scenarios and to better understand the tradeoffs involved in developing a financial plan.

If you do not want to take part in the PlanIt simulator, click on the X to close the window.



## Investor Questionnaire Widget

If you are not finished completing your questionnaire, you can close it out and come back to it at another time by logging into your client portal and choosing the **Open Questionnaire Icon** in the Investor Questionnaire Widget.



Thank you for completing your Investor Questionnaire. Your advisor will be reaching out to you shortly to discuss the next steps.